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COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

WASHINGTON, D.C. 20231  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027.471	12/21/2001	Alex Apollonsky	2659P016

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
LOS ANGELES, CA 90025

**ENTERED**

AN 2\_9 2002

**STATUS DB-LA**

**CONFIRMATION NO. 9953**

## FORMALITIES LETTER



\*OC000000007355674\*

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/05/2002 SSESHE1 00000014 10027471

01 FC:105

**130.00-0P**

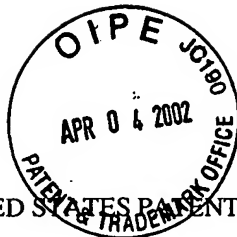
***A copy of this notice MUST be returned with the reply.***

Sadiq Ibrahim  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

10027471.040402



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Apollonsky et al.

Application No.: 10/027,471

Filed: December 21, 2001

For: A Contextual Relevance Engine and  
Knowledge Delivery System

Examiner: Not yet assigned

Art Unit: 2171

FIRST CLASS CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8 (a))

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail with postage in  
advance addressed to the Assistant Commissioner for Patents,  
Washington, DC 20231.

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

March 25 2002  
Date of Deposit  
Krista Matheson  
Name of Person Mailing Correspondence  
Krista Matheson 3/25/02  
Signature Date

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed January 24, 2002,  
please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent  
application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) substitute drawings in compliance with 37 CFR 1.84; and
- (4) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response  
is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY/SOKOLOFF/TAYLOR & ZAFMAN LLP

Michael DeSanctis  
Reg. No. 39,957

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025-1026  
(303) 740-1980

Date: 3/22/02



PTO/SB/17(09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT (\$)** \$ 130.00

Complete if Known:

Application No. 10/027,471Filing Date December 21, 2001First Named Inventor Apollonsky et al.Group Art Unit 2171Examiner Name Not yet assignedAttorney Docket No. 002659.P016**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 (37 C.F.R. § 1.8 (a))

2. ☒ Payment Enclosed:

☒ Check☐ Money Order☐ Other

I hereby certify that this correspondence is being sent by the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner, Patent and Trademark Office, Washington, DC 20231.

March 25, 2002  
Date of Deposit

Krista Matheson  
Name of Person Mailing Correspondence

Krista Matheson  
Signature

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	740	201	370	Utility application filing fee	_____
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____

**SUBTOTAL (1) \$** \_\_\_\_\_**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	_____	- 20** = _____	X _____	= _____
Independent Claims	_____	- 3** = _____	X _____	= _____
Multiple Dependent	_____		_____	= _____

**\*\*Or number previously paid, if greater; For Reissues, see below.**

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$** \_\_\_\_\_

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	<u>\$130.00</u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for ex-parte reexamination	_____
099	8,800	099	8,800	Request for inter partes reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	400	216	200	Extension for response within second month	_____
117	920	217	460	Extension for response within third month	_____
118	1,440	218	720	Extension for response within fourth month	_____
128	1,960	228	980	Extension for response within fifth month	_____
119	320	219	160	Notice of Appeal	_____
120	320	220	160	Filing a brief in support of an appeal	_____
121	280	221	140	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	_____
141	1,280	241	640	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	_____
142	1,280	242	640	Utility issue fee (or reissue)	_____
143	460	243	230	Design issue fee	_____
144	620	244	310	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	180	126	180	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179	740	279	370	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 130.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Michael A. DeSanctisSignature: Date: 3/22/02Reg. Number: 39,957Telephone Number: 303-740-1980

COPY OF PAPERS  
ORIGINALLY FILED

Attorney's Docket No. 002659.P016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Apollonsky et al.

Application No.: 10/027,471

Filed: December 21, 2001

For: A Contextual Relevance Engine  
Knowledge Delivery System

Examiner: Not Yet Assigned

Art Unit: 2171

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MAY 01 2002

Technology Center 2100

FIRST CLASS CERTIFICATE OF MAILING  
(39 C.F.R. § 1.8 (a))

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, DC 20231.

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

March 25, 2002  
Date of Deposit  
Krista Matheson  
Name of Person Mailing Correspondence  
Krista Matheson 3/25/02  
Signature Date

Applicants enclose herewith six (6) sheets of formal drawings and request that the same be made of record in this application as a substitute for the drawings filed with the application on December 21, 2001.

The Assistant Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 02-2666. A duplicate copy of this communication is enclosed for that purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

3/22/02

Michael A. DeSanctis  
Reg. No. 39,957

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(303) 740-1980

Attorney Docket No.: 002659.P016  
Application No.: 10/027,741